INVITED CLINICAL COMMENTARY
NON-OPERATIVE REHABILITATION FOR TRAUMATIC AND ATRAUMATIC GLENOHUMERAL INSTABILITY

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ABSTRACT

Glenohumeral joint instability is a common pathology encountered in the orthopaedic and sports medicine setting. A wide range of symptomatic shoulder instabilities exist ranging from subtle subluxations due to contributing congenital factors to dislocations as a result of a traumatic episode. Non-operative rehabilitation is utilized in patients diagnosed with shoulder instability to regain their previous functional activities through specific strengthening exercises, dynamic stabilization drills, neuromuscular training, proprioception drills, scapular muscle strengthening program and a gradual return to their desired activities. The specific rehabilitation program should be varied based on the type and degree of shoulder instability present and desired level of function. The purpose of this paper is to outline the specific principles associated with non-operative rehabilitation for each of the various types of shoulder instability and to discuss the specific rehabilitation program for each pathology type.

Keywords: Dynamic stabilization, neuromuscular control, shoulder joint

INTRODUCTION

Shoulder instability is a common pathology often seen in the orthopaedic and sports medicine setting. The glenohumeral joint allows tremendous amounts of joint mobility to function, thus, making the joint inherently unstable and the most frequently dislocated joint in the body.1 Due to the joint's poor osseous congruency and capsular laxity, it greatly relies on the dynamic stabilizers and neuromuscular system to provide functional stability.2 Therefore, differentiation between normal translation and pathological instability is often difficult to determine. A wide range of shoulder instabilities exist from subtle subluxations to gross instability. Often the success of the rehabilitation program is based on the recognition and treatment program designed to treat the specific type of instability present.

Non-operative rehabilitation is often implemented in patients diagnosed with a variety of shoulder instabilities. These instability patterns can range from congenital multidirectional instabilities to traumatic unidirectional dislocations. We have classified glenohumeral joint instabilities into two broad categories: traumatic and atraumatic. Based on the classification system of glenohumeral instability, as well as several other factors, a non-operative rehabilitation program may be developed. The purpose of this paper is to discuss and overview these factors along with the non-operative rehabilitation programs for the various types of shoulder instability in order to return the patient to their previous level of function.

TABLE. Seven key factors to consider in the rehabilitation of the unstable shoulder

1. Onset of the pathology
2. Degree of instability — subluxation vs. dislocation
3. Frequency of dislocation — chronic vs. acute
4. Direction of instability — anterior, posterior, multidirectional
5. Concomitant pathologies
6. End range neuromuscular control
7. Premorbid activity level

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REHABILITATION FACTORS

Seven key factors should be considered when designing a rehabilitation program for a patient with an unstable shoulder (Table). These factors and their significance to the rehabilitation program will be presented.

Onset of Pathology
The first factor to consider in the rehabilitation of a patient with shoulder instability is the onset of the pathology. Pathological shoulder instability may result from an acute, traumatic event or chronic, recurrent instability. The goal of the rehabilitation program may vary greatly based on the onset and mechanism of injury. Following a traumatic subluxation or dislocation, the patient typically presents with significant tissue trauma, pain, and apprehension. The patient who has sustained a dislocation often exhibits more pain due to muscle spasm than a patient who has only subluxed their shoulder. Furthermore, a first-time episode of dislocation is generally more painful than the repeat event. Rehabilitation for the patient with a first-time traumatic episode will be progressed based on the patient's symptoms with emphasis on early controlled range of motion, reduction of muscle spasms and guarding, and relief of pain.

Conversely, a patient presenting with atraumatic instability often presents with a history of repetitive injuries and symptomatic complaints. Often the patient does not complain of a single instability episode but, rather, a feeling of shoulder laxity or an inability to perform specific tasks. Rehabilitation for this patient should focus on early proprioception training, dynamic stabilization drills, neuromuscular control, scapular muscle exercises, and muscle strengthening exercises to enhance dynamic stability due to the unique characteristic of excessive capsular laxity and capsular redundancy in this type of patient.

Degree of Instability
The second factor is the degree of instability present in the patient and the effect on their function. Varying degrees of shoulder instability exist such as a subtle subluxation or gross instability. The term subluxation refers to the complete separation of the articular surfaces with spontaneous reduction. Conversely, a dislocation is a complete separation of the articular surfaces and requires a specific movement or manual reduction to relocate the joint, resulting in underlying capsular tissue trauma. The degree of trauma to the soft tissue of the glenohumeral joint with a shoulder subluxation is can be quite extensive. Speer et al has reported that in order for a shoulder dislocation to occur, a Bankart lesion and soft tissue trauma must be present on both sides of the glenohumeral joint capsule. Thus, in the situation of an acute traumatic dislocation, the anterior capsule may be avulsed off the glenoid (Bankart lesion) and the posterior capsule may be stretched, allowing the humeral head to dislocate. Warren et al refer to this damage to both the anterior and posterior capsule as the “circle stability concept.”

The rate of progression of the rehabilitation program will vary based upon the degree of instability and persistence of symptoms. For example, a patient with mild subluxations and muscle guarding may initially tolerate strengthening exercises and neuromuscular control drills more than a patient with a significant amount of muscular guarding.

Frequency of Dislocation
The next factor to influence the rehabilitation program is the frequency of dislocation or subluxation. The primary traumatic dislocation is most often treated conservatively with immobilization in a sling and early controlled passive range of motion (ROM) exercises, especially with first time dislocations. The incidence of recurrent dislocation ranges from 17-96% with a mean of 67% in patient populations between the ages of 21-30 years old. Therefore, the rehabilitation program should progress cautiously in young athletic individuals. It should be noted that Hovelius et al has demonstrated that the rate of recurrent dislocations is based on the patient's age and not affected by the length of post-injury immobilization. Individuals between the ages of 19 and 29 years are the most likely to experience multiple episodes of instability. Hovelius et al noted patients in their 20's exhibited a recurrence rate of 60%, whereas, patients in their 30's to 40's had less than a 20% recurrence rate. In adolescents, the recurrence rate is as high as 92% and 100% with an open physes.

Chronic subluxations, as seen in the atraumatic, unstable shoulder may be treated more aggressively due to the lack of acute tissue damage and less muscular guarding and inflammation. Rotator cuff and periscapular strengthening activities should be initiated while ROM exercises are progressed. Caution is placed on avoiding excessive stretching of the joint capsule through aggressive ROM activities. The goal is to enhance strength, proprioception, dynamic stability and neuromuscular control, especially in the specific points of motion or direction which results in instability complaints.

Direction of Instability

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The fourth factor is the direction of instability present. The three most common forms include anterior, posterior, and multidirectional. Anterior instability is the most common traumatic type of instability seen in the general orthopaedic population, representing approximately 95% of all traumatic shoulder instabilities. Following a traumatic event in which the humeral head is forced into extremes of abduction and external rotation, or horizontal abduction, the glenolabral complex and capsule may become detached from the glenoid rim resulting in anterior instability. This type of detachment is referred to as a Bankart lesion. Baker et al have identified four types of Bankart lesions based on the size and the degree of tissue involvement. Conversely, rarely will a patient with atraumatic instability due to capsular redundancy dislocate their shoulder. It is the author's opinion that these patients are more likely to repeatedly sublux the joint without complete separation of the humerus from the glenoid rim. Capsular avulsions can occur on the glenoid side (Bankart lesion) or on the humeral head side referred to as a HAGL lesion (humeral avulsion of the inferior glenohumeral ligament).

Posterior instability occurs less frequently, only accounting for less than 5% of traumatic shoulder dislocations. This type of instability is often seen following a traumatic event such as falling onto an outstretched hand or from a pushing mechanism. However, patients with significant atraumatic laxity may complain of posterior instability especially with shoulder elevation, horizontal adduction and excessive internal rotation due to the strain placed on the posterior capsule in these positions. In professional or collegiate football, the incidence of posterior shoulder instability appears higher than the general population. This is especially true in linemen. Mair et al reported on nine athletes with posterior instability in which eight of nine were linemen and seven were offensive linemen. Often, these patients require surgery as Mair et al also reported 75% required surgical stabilization. Kaplan et al reported in a study of collegiate football players that 78% required surgical stabilization.

Multidirectional instability (MDI) can be identified as shoulder instability in more than one plane of motion. Patients with MDI have a congenital predisposition and exhibit ligamentous laxity due to excessive collagen elasticity of the capsule. Furthermore, Rodeo et al reported that this type of patient turns over collagen at a faster rate. The authors consider an inferior displacement of greater than 8-10mm during the sulcus maneuver with the arm adducted to the side as significant hypermobility, thus suggesting significant congenital laxity.

Due to the atraumatic mechanism and lack of acute tissue damage, ROM is often normal to excessive. Patients with recurrent shoulder instability due to MDI generally have weakness in the rotator cuff, deltoid...
Concomitant Pathologies
The fifth factor involves considering other tissues that may have been affected and the premorbid status of the tissue. Disruption of the anterior capsulolabral complex from the glenoid commonly occurs during a traumatic injury resulting in an anterior Bankart lesion. Often osseous lesions may be present such as a concomitant Hill Sach's lesion caused by an impaction of the postero-lateral aspect of the humeral head as it compresses against the anterior glenoid rim during relocation. This Hill Sach's lesion has been reported in up to 80% of dislocations.30-32 Conversely, a reverse Hill Sach's lesion may be present on the anterior aspect of the humeral head due to a posterior dislocation.33 Occasionally, a bone bruise may be present in individuals who have sustained a shoulder dislocation as well as pathology to the rotator cuff. In rare cases of extreme trauma, the brachial plexus may become involved as well.34 Other common injuries in the unstable shoulder may involve the superior labrum (SLAP lesion) such as a type V SLAP lesion characterized by a Bankart lesion of the anterior capsule extending into the anterior superior labrum.35 These concomitant lesions may significantly slow down the rehabilitation program in order to protect the healing tissue.

Neuromuscular Control
The sixth factor to consider is the patient's level of neuromuscular control, particularly at end range. Neuromuscular control may be defined as the efferent, or motor, output in reaction to an afferent, or sensory input.2,10 The afferent input is the ability to detect the glenohumeral joint position and motion in space with resultant efferent response by the dynamic stabilizers as they blend with the joint capsule to assist in stabilization of the humeral head. Injury with resultant insufficient neuromuscular control could result in deleterious effects to the patient. As a result, the humeral head may not center itself within the glenoid, thereby, compromising the surrounding static stabilizers. The patient with poor neuromuscular control may exhibit excessive humeral head migration with the potential for injury, an inflammatory response, and reflexive inhibition of the dynamic stabilizers.

Several authors have reported that neuromuscular control of the glenohumeral joint may be negatively affected by joint instability. Lephart et al10 compared the ability to detect passive motion and the ability to reproduce joint positions in patients with normal, unstable, and surgically repaired shoulders. The authors reported a significant decrease in proprioception and kinesthesia in the shoulders with instability when compared to both normal shoulders and shoulders undergoing surgical stabilization procedures. Smith and Brunoli36 reported a significant decrease in proprioception following a shoulder dislocation. Blasier et al37 reported that individuals with significant capsular laxity exhibited a decrease in proprioception compared to patients with normal laxity. Zuckerman et al38 noted that proprioception is affected by the patient's age with older subjects exhibiting diminished proprioception than a comparably younger population. Thus, the patient presenting with traumatic or acquired instability may present with poor neuromuscular control.

Activity Level
The final factor to consider in the non-operative rehabilitation of the unstable shoulder is the arm dominance and the desired activity level of the patient. If the patient frequently performs an overhead motion or sporting activities such as a tennis, volleyball, or a throwing sport, then the rehabilitation program should include sport specific dynamic stabilization exercises, neuromuscular control drills, and plyometric exercises in the overhead position once full, pain free ROM and adequate strength has been achieved. Patients whose functional demands involve below shoulder level activities will follow a progressive exercise program to return full ROM and strength. The success rates of patients returning to overhead sports after a traumatic dislocation of their dominant arm are extremely low.39 Arm dominance can also significantly influence the successful outcome. The recurrence rates of instabilities vary based on age, activity level, and arm dominance. In athletes involved in collision sports, the recurrence rates have been reported.
collision sports, the recurrence rates have been reported between 86-94%, 6,40-42

REHABILITATION GUIDELINES
Patients may be classified into two common forms of shoulder instability – traumatic and atraumatic. Specific guidelines to consider in the rehabilitation of each patient population will be outlined. A four-phase rehabilitation program will be discussed for traumatic shoulder instability, followed by an overview of variations and key rehabilitation principles for atraumatic shoulder instability (congenital and acquired laxity).

Traumatic Shoulder Instability

Phase I-Acute Phase
Following a first-time traumatic shoulder dislocation or subluxation, the patient often presents in considerable pain, muscle spasm, and an acute inflammatory response. The patient usually self-limits their motion by guarding the injured extremity in an internally rotated and adducted position against the side of their body to protect the injured shoulder. The goals of the acute phase are to 1) diminish pain, inflammation, and muscle guarding 2) promote and protect healing soft tissues, 3) prevent the negative effects of immobilization, 4) re-establish baseline dynamic joint stability, and 5) prevent further damage to glenohumeral joint capsule. (Appendix 1)

Immediate limited and controlled motion is allowed following a traumatic dislocation in patients between the ages of 18-28 years but immobilize patients between the ages of 29-54 years old. However, motion is restricted so as to not to cause further tissue attenuation. A short period of immobilization in a sling or controlled motion will be the hallmark of the early phase. Motion is initiated with the patient performing submaximal, pain-free isometric contractions to initiate muscle recruitment and retard muscle atrophy.

Electrical stimulation of the posterior cuff musculature may also be incorporated to enhance the muscle fiber recruitment process early on in the rehabilitation process and also in the next phase when the patient initiates isotonic strengthening activities. (Figure 3) Reinold et al49 believe that the use of electrical stimulation may improve force production of the rotator cuff particularly the external rotators immediately after an acute injury.

Dynamic stabilization exercises are also performed to re-establish dynamic joint stability. The patient maintains a static position as the rehabilitation specialist performs manual rhythmic stabilization drills to facilitate muscular co-contractions. These manual rhythmic stabilization drills are performed for the shoulder internal and external rotators in the scapular plane at 30 degrees of abduction and are performed at pain-free angles which do not compromise the healing capsule. Rhythmic stabilization for flexion and extension may also be performed with the shoulder at 100 degrees of flexion and 10 degrees of horizontal abduction. Strengthening exercises are also
performed for the scapular retractors and depressors to reposition the scapula in its proper position. Scapula strengthening is critical for successful rehabilitation. Closed kinetic chain exercises such as weight shifting on a ball are performed to produce a co-contraction of the surrounding glenohumeral musculature and to facilitate joint mechanoreceptors to enhance proprioception. Weight shifts are usually able to be performed immediately following the injury unless posterior instability is present.

**Phase II-Intermediate phase**

During the intermediate phase, the program emphasizes regaining full ROM along with progressing strengthening exercises of the rotator cuff, and re-establishing muscular balance of the glenohumeral joint, scapular stabilizers, and surrounding shoulder muscles. Before the patient enters Phase II, certain criteria must be met which include diminished pain and inflammation, satisfactory static stability, and adequate neuromuscular control.

To achieve the desired goals of this phase, passive ROM is performed to the patient's tolerance with the goal of attaining nearly full ROM. Active-assisted ROM exercises using a rope and pulley along with flexion and external/internal rotation exercises at 90 degrees of abduction using an L-bar may be progressed to tolerance without stressing the involved tissues. External rotation at 90 degrees of abduction is generally limited to 65-70 degrees to avoid over stressing the healing anterior capsuloligamentous structures for approximately 4-8 weeks but eventually increasing ROM to full motion as the patient tolerates.

Isotonic strengthening exercises are also initiated during this phase. Emphasis is placed on increasing the strength of the internal and external rotators and scapular muscles to maximize dynamic stability. The ultimate goal of the strengthening phase is to re-establish muscular balance following the injury. Kibler\(^1\) noted that scapular position and strength deficits have been shown to contribute to glenohumeral joint instability. Exercises initially include external and internal rotation with exercise tubing at 0 degrees of abduction along with sidelying external rotation and prone rowing. During the latter part of this phase, isotonic exercises are progressed to emphasize rotator cuff and scapulothoracic muscle strength. Manual resistive exercises such as sidelying external rotation and prone rowing may also prove beneficial by having the clinician vary the resistance throughout the ROM. Incorporating manual concentric and eccentric manual exercises and rhythmic stabilization drills at end range to enhance neuromuscular control and dynamic stability is also recommended.\(^1\)

Closed kinetic chain exercises are progressed to include a hand on the wall stabilization drills in the plane of the scapular at shoulder height as the patient tolerates.\(^1\) Push-ups are performed first with hands on a table then progressed to a push-up on a ball or unstable surface while the rehabilitation specialist performs rhythmic stabilization to the involved and uninvolved upper extremity along with the trunk to integrate dynamic stability and core strengthening (tilt board, ball, etc.).\(^1\) Caution should be placed while performing closed kinetic chain exercises in patients with posterior instability for 6-8 weeks at allow for adequate healing and strength gains. Furthermore, patients with significant scapular winging should perform push-ups until adequate scapular strength is accomplished. Core stabilization drills should also be performed to enhance scapular control. Additionally, strengthening exercises may be advanced in regards to resistance, repetitions, and sets as the patient improves. End range rhythmic stabilization drills with the arm at 0 degrees of adduction or at 45 degrees of abduction are also performed. Exercises such as tubing with manual resistance and end range rhythmic stabilization drills are also performed.\(^1\) The goal of these exercise drills is to improve proprioception and neuromuscular control at end range.

**Phase III- Advanced Strengthening**

In the advanced strengthening phase, the focus is on...
improving strength, dynamic stability, and neuromuscular control near end range through a series of progressive strengthening exercises for a gradual return to the patient's activity. Criteria to enter this phase include: 1) minimal pain and tenderness, 2) full range of motion, 3) symmetrical capsular mobility, 4) good (at least 4/5 manual muscle test) strength, endurance and dynamic stability of the scapulothoracic and upper extremity musculature.

Muscle fatigue has also been associated with a decrease in neuromuscular control. Carpenter et al50 observed the ability to detect passive motion of shoulders positioned at 90 degrees of abduction and 90 degrees of external rotation. The investigators reported a decrease in both the detection of external and internal rotation movement following an isokinetic fatigue protocol. Therefore, exercises designed to enhance endurance in the upper extremity such as using low resistance and high repetitions (20-30 repetitions per set) are incorporated during this phase. Also, exercise sets utilizing time may be incorporated, such as 30 second or 60 second exercise bouts. These exercises may include tubing external and internal rotation, plyoball wall dribbling, and submaximal manual resistance drills.

Aggressive upper body strengthening through the continuation of a progressive isotonic resistance program is recommended. A gradual increase in resistance as well as a progression to a more functional position by performing tubing exercises at 90 degrees of abduction to strengthen the external and internal rotators is also recommended. Additionally, more aggressive isotonic strengthening exercises such as bench press, seated row, and latissimus pull-downs may be incorporated in a protected range of motion during this phase. During bench press and seated rows, the patient is instructed to not extend the upper extremities beyond the plane of the body to minimize stress on the shoulder capsule. Latissimus pull-downs are performed in front of the head and the patient is instructed to avoid full extension of the arms to minimize the amount of traction force applied to the shoulder joint. Also during this phase, the patient continues to perform rhythmic stabilization drills with the rehabilitation specialist and gradually progresses to a position of apprehension utilizing tubing at 90 degrees of abduction with end range rhythmic stabilization drills to enhance dynamic stability.

A patient wishing to return to athletic participation may be instructed to perform plyometric exercises for the upper extremity. These activities are incorporated to regain any remaining functional ROM as well as improving neuromuscular control and to train the extremity to produce and dissipate forces. Initially, 2-handed drills close to the body such as chest pass, side-to-side and overhead soccer throws (Figure 8) using a 3-5 pound medicine ball may be performed to enhance dynamic stabilization of the glenohumeral joint. Exercises are initiated with 2-handed drills close to the center of gravity and gradually progressed to longer lever arms away from the patient's body. Drills are progressed to challenge the dynamic stabilizers of the shoulder.

After approximately two weeks of pain free 2-handed drills, the athlete progresses to 1-handed plyometric drills using a small medicine ball (1-2 lbs) and throwing into a plyoback. Plyoball wall dribbles in the 90/90 position (Figure 9) to improve overhead muscle endurance may also be incorporated.
**Phase IV- Return to Activity Phase**

In the return to activity phase, the goal is to increase, gradually and progressively, the functional demands on the shoulder in order for the patient to return to unrestricted, sport or daily activities. Other goals of this phase are to maintain the patient's muscular strength and endurance, dynamic stability and functional range of motion. The criteria to progress into this phase include: 1) full functional ROM, 2) adequate static stability, 3) satisfactory muscular strength and endurance, 4) adequate dynamic stability, and 5) a satisfactory clinical exam.

The general orthopaedic patient continues to perform a maintenance program to improve strength, dynamic stability, and neuromuscular control as well as maintaining full, functional and painfree ROM. The athlete continues to perform aggressive strengthening exercises such as plyometrics, proprioceptive neuromuscular facilitation drills, and isotonic strengthening. In addition, the athlete may begin functional sport activities through an interval return to sport program. These activities are designed to gradually return motion, function, and confidence in the upper extremity by progressing through graduated sport-specific activities. These interval sport programs are set up to minimize the chance of re-injury while training the patient for the demands of each individual sport. Each program should be individualized based on the patient's injury, skill level, and goals. The duration of each program is based on several factors including the extent of the injury, the sport and level of play, along with the time of season. The athlete is allowed to return to unrestricted sports activities after completion of an appropriately designed rehabilitation program and a successful clinical exam including full ROM, strength along with adequate dynamic stability and neuromuscular control.

We routinely perform a combination of isokinetic testing for our overhead athletes, which we refer to as the “Thrower's Series.” Criteria to begin an interval sport program includes an external rotation/internal rotation strength ratio of 66-76% or higher at 180°/second, an external rotation to abduction ratio of 67-75% or higher at 180°/second. Patients returning to contact sports such as hockey, football, rugby, etc may be required to wear a shoulder stability brace (Don-Joy) for the initiation of the sport return.

**Rehabilitation for Atraumatic Shoulder Instability**

Rehabilitation of the patient with congenital shoulder instability poses a significant challenge for the rehabilitation specialist. The patient typically presents with several episodes of instability which limits them from performing certain tasks which may include daily work tasks as well as recreational or sports activities. This type of instability may arise from several factors including excessive redundancy and capsular laxity, poor osseous configuration such as a flattened glenoid fossa, or weakness in the glenohumeral and scapular musculature resulting in poor neuromuscular control. Any of these factors, individually or in combination, may contribute to pathological glenohumeral instability.

The focus of the rehabilitation program for the patient with atraumatic instability is similar to the traumatically

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*Figure 6* Rhythmic stabilization drills on an unstable surface to further challenge the patient's neuromuscular control.

*Figure 7* External rotation with tubing while the therapist applies an external force throughout the ROM.
unstable shoulder, however, this program involves a slower progression with careful consideration to avoid excessive stretching to the capsular tissue. Furthermore, early goals include improving proprioception, dynamic stability, neuromuscular control, and scapular muscle strengthening to gradually return the patient to functional activities without limitations. As previously mentioned, the early phase of rehabilitation involves reducing shoulder pain and muscular inhibition while abstaining from activities that cause apprehension.

Shoulder muscle activation has been shown to differ in patients with congenital laxity versus a normal, stable shoulder. Normal force coupling that exists to dynamically stabilize the gleno-humeral joint is altered resulting in excessive humeral head migration and a feeling of subluxation by the patient. Rockwood and Burkhead found that an exercise program was effective in the management of 80% of atraumatic instability. A recent study by Misamore et al. found improved results in 49% (28 of 59) of patients in a long term follow up study of atraumatic, athletic patients.

The rehabilitation program for the patient with atraumatic instability involves regaining full ROM without excessive stress to the involved tissues. The patient often presents with excessive ROM, therefore, passive ROM activities are not the focus of the rehabilitation program. Special attention is placed to avoid excessive stretches to the involved tissues. Modalities such as cryotherapy, phonophoresis, high voltage stimulation, and TENS may be used to minimize pain and inflammation. The reduction of shoulder pain may also be accomplished through gentle motion activities to neuromodulate pain, NSAIDs prescribed by the physician and abstaining from painful arcs of active and passive ROM.

The focus of the early phase of the rehabilitation program is to minimize any further muscle atrophy and reflexive inhibition resulting from disuse, repeated subluxation episodes, and pain. Isometric contraction exercises may be performed for the gleno-humeral muscles particularly the rotator cuff. Rhythmic stabilization drills may also be performed to facilitate a muscular co-contraction/co-activation to improve neuromuscular control and enhance the sensitivity of the afferent mechanoreceptors. The goal is to create a more efficient agonist/antagonist co-contraction to improve force coupling and joint stability during active movements.

The authors of this paper believe that exercises such as rhythmic stabilization drills and closed kinetic chain exercises to promote a co-contraction and an improvement in proprioception are beneficial for this patient population. Axial compression exercises are progressed from standing weight shifts on a table top to then include the quadruped and tripod positions (Note - this position should be avoided if posterior instability is present). Rhythmic stabilization of the involved extremity as well as at the core and trunk may be applied during these closed kinetic chain drills to further challenge the patient’s dynamic stability and neuromuscular control. Unstable surfaces such as tilt boards, foam, large exercise balls, and the Biodex stability system (Biodex Corp., Shirley, NY) may be incor-
porated to further challenge the patient's dynamic stability while in the closed chain position to further promote a co-activation or cocontraction of the surrounding musculature. (Figure 12)

Patients with congenital laxity often present with significant rotator cuff and scapular strength deficits, particularly the external rotators, scapular retractors, and scapular depressors. A progressive isotonic strengthening program may be initiated to improve rotator cuff and scapular musculature strength, endurance, and dynamic stability. Proper scapula stability and movement is vital for asymptomatic function. Scapula strengthening will improve proximal stability and therefore enable distal segment mobility for during the patient's functional tasks. These exercises may include external rotation at 0 degrees of abduction, sidelying external rotation, standing external rotation at 90 degrees of abduction, prone external rotation, prone rowing, prone extension and prone horizontal abduction at 100 degrees with external rotation. Other scapular training exercises commonly incorporated include supine serratus punches and a dynamic hug for serratus anterior strengthening. Bilateral external rotation with scapular retraction and table lifts may also be performed to strengthen the lower trapezius. Neuromuscular control drills are performed for the scapular musculature by having the rehabilitation specialist manually resist scapula movements. The goal of these drills is to enhance strength, endurance, and scapula proprioception.

The function of neuromuscular control system must not be overlooked in this patient population. Functional exercise drills that include positions of instability to induce a reflexive muscular response may protect against future injury or recurring episodes of instability. Active joint repositioning tasks, proprioceptive neuromuscular facilitation (PNF) and plyometric exercises may be beneficial as well to evoke a neuromuscular response.

Once sufficient strength of the scapular stabilizers and posterior cuff has been achieved, the patient is encouraged to use the shoulder only in the most stable positions; those in the plane of the scapular during humeral elevation. Activities that promote a feeling of joint instability with or without subluxation or dislocation should be avoided. Only when coordination and confidence are achieved through progressive strengthening should the patient attempt activities in an intrinsically unstable position. Bracing of the glenohumeral joint for return to sporting activities may also be necessary to provide immobilization or controlled ROM to protect against further injury.

The primary focus of the rehabilitation program for the congenitally unstable shoulder patient is to enhance strength and balance in the rotator cuff, improve scapular position and core stability, along with improved proprioception and neuromuscular control. Once symptoms have subsided and sufficient strength has been achieved, the patient may resume normal shoulder function, which may include sport activities.

CONCLUSION

The glenohumeral joint is an inherently unstable joint that relies on the interaction of the dynamic and static stabilizers to maintain stability. Disruption of this interplay or poor development of any of these factors may result in instability, pain, and a loss of function. Rehabilitation will vary based on the type of instability present and the key principles described. A comprehensive program designed to establish full range of motion, balance capsular mobility, along with maximizing muscular strength, endurance, proprioception, dynamic stability and neuromuscular control is essential. A functional approach to rehabilitation using movement...
patterns and sport specific positions along with an interval sport program will allow a gradual return to athletics. The focus of the program should minimize the risk of re-injury and ensure that the patient can safely produce and dissipate forces at the glenohumeral joint.

REFERENCES

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### Appendix 1. Traumatic dislocation protocol

#### NON-OPERATIVE REHABILITATION

**TRAUMATIC DISLOCATION OF THE SHOULDER**

The program will vary in length for each individual depending on several factors:

- Severity and onset of symptoms
- Degree of instability symptoms
- Direction of instability
- Concomitant pathologies
- Age and activity level of patient
- Arm dominance
- Desired goals and activities

#### I. PHASE I - ACUTE MOTION PHASE

**Goals:**

- Protect healing capsular structures
- Re-establish non-painful range of motion
- Decrease pain, inflammation, and muscular spasms
- Retard muscular atrophy / Establish voluntary muscle activity
- Re-establish dynamic stability
- Improve proprioception

*Note: During the early rehabilitation program, caution must be applied in placing the capsule under stress until dynamic joint stability is restored. It is important to refrain from activities in extreme ranges of motion early in the rehabilitation process.*

**Decrease Pain/Inflammation:**

- Sling or ER brace for comfort and depending on age of patient (MD preference)
- Therapeutic modalities (ice, TENS, etc.)
- NSAIDs
- Gentle joint mobilizations (grade I-II) for pain neuromodulation
  - Do not stretch injured capsule

**Range of Motion Exercises:**

- Gentle ROM only, no stretching
- Pendulums
- Rope & Pulley
  - Elevation in scapular plane to tolerance
- Active-assisted ROM L-Bar to tolerance
  - Flexion
  - Internal Rotation with arm in scapular plane at 30 degrees abduction
  - External Rotation with arm in scapular plane at 30 degrees abduction
  - Motion is performed in Non-Painful arc of motion only *
- ★ DO NOT PUSH INTO ER OR HORIZONTAL ABDUCTION with anterior instability
- ★ Avoid excessive IR or horizontal adduction with posterior instability

**Strengthening/Proprioception Exercises:**

- Isometrics (performed with arm at side)
  - Flexion
  - Abduction
- - Extension
- - Biceps
- - Internal Rotation (multi-angles)
- - External Rotation (multi-angles)
- - Electrical muscle stimulation may be used to ER during isometrics
- - Scapular retract/protract elevate/depress (seated manual resist.)

**Rhythmic Stabilization**

- ER/IR in scapular plane (pain-free multi-angles)
- Flex/Ext in scapular plane (pain-free angles, multi-angles)
- Weight Shifts – standing hands on table (CKC Exercises) – (ant. instability only)
- Proprioception training drills - Active joint reproduction proprioceptive drills (ER,IR,Flex)

#### II. Phase II - Intermediate Phase

**Goals:**

- Regain and improve muscular strength
- Normalize arthrokinematics
- Enhance proprioception and kinesthesia
- Enhance dynamic stabilization
- Improve neuromuscular control of shoulder complex

**Criteria to Progress to Phase II:**

- Nearly full to full passive ROM (ER may be still limited)
- Minimal pain or tenderness
- “Good” MMT of IR, ER, flexion, and abduction
- Baseline proprioception and dynamic stability

**Progress range of motion activities at 90 degrees abduction to tolerance (painfree)**

**Initiate isotonic strengthening**

**Emphasis on external rotation and scapular strengthening**

- ER/IR Tubing
- Scaption raises (full can)
- Abduction to 90 degrees
- Sidelying external rotation to 45 degrees
- Standing ER with tubing with manual resistance
- Hand on ball against wall resistance stabilization
- Prone extension to neutral
- Prone horizontal adduction
- Prone rowing
- Lower and middle trapezius
- Sidelying neuromuscular exercise drills
- Push-ups onto table
- Seated manual scapular resistance
- Biceps curls
- Triceps pushdowns
- Electrical muscle stimulation may be used to ER during exercises

**Improve Neuromuscular control of Shoulder Complex**

- Initiation of proprioceptive neuromuscular facilitation
- Rhythmic stabilization drills
- ER/IR at 90 degrees abduction (limit degree of ER)
- Flexion/Extension/Horizontal at 100 degrees flexion, 10 degrees horizontal abduction
- Progress to mid and end range of motion
- Progress OKC program
- PNF
- Manual resistance ER (supine ‡ sidelying ľE eccentrics), prone row
- ER/IR tubing with stabilization
- Progress CKC exercises with rhythmic stabilizations
- Wall stabilization on ball
- Hand on wall – wall circles for rotator cuff endurance
- Hand on wall – side to side motion for scapular muscles and deltoid
- Static holds in push-up position on ball
- Push-ups on tilt board
- Core
- Abdominal strengthening
- Trunk strengthening / Low back
- Gluteal strengthening

**Continue Use of Modalities (as needed)**
- Ice, electrotherapy modalities

**III. Phase III - ADVANCED Strengthening Phase**

**Goals:**
- Improve strength/power/endurance
- Improve neuromuscular control
- Enhance dynamic stabilizations
- Prepare patient/athlete for activity

**Criteria to Progress to Phase III:**
- Full non-painful range of motion
- No palpable tenderness
- Continued progression of resistive exercises
- Good – normal muscle strength, dynamic stability, neuromuscular control

**Continue use of modalities (as needed)**

**Continue isotonic strengthening (progress resistance)**
- Continue Thrower’s Ten
- Progress to end range stabilization drills
- Progress to full ROM strengthening
- Progress to bench press in restricted ROM (restrict horizontal abduction ROM)
- Progress to flat & incline chest press (weighted) restrict motion
- Program to seated rowing and lat pull down (in front) in restricted ROM

**Emphasize PNF**
Manual D2 with RS at 45, 90, & 145 degrees

**Advanced neuromuscular control drills (for athletes)**
- Ball flips on table
- ER tubing at 90 deg abduction with manual resistance & RS at end range

**- Push-ups on ball/rocker board with rhythmic stabilizations**
**- Manual scapular neuromuscular control drills**
**- Initiate perturbation activities (ER with exercise tubing with end range rhythmic stab)**

**Endurance training**
- Timed bouts of exercises – 30-60 seconds
- Increase number of repetitions (sets of 15/20 reps)
- Multiple bouts throughout day (3x)

**Initiate plyometric training**
- 2-hand drills:
  - Chest pass throw
  - Side to side throw
  - Overhead soccer throw
- Progress to 1-hand drills:
  - 90/90 baseball throws
  - Wall dribbles
  - 90/90 baseball throws against wall

★ Continue to avoid excessive stress on joint capsule

**IV. Phase IV - RETURN TO ACTIVITY PHASE**

**Goals:**
- Maintain optimal level of strength/power/endurance
- Progressively increase activity level to prepare patient/athlete for full functional return to activity/sport

**Criteria to Progress to Phase IV:**
- Full ROM
- No pain or palpable tenderness
- Satisfactory isokinetic test
- Satisfactory clinical exam

**Continue all exercises as in Phase III**

**Progress isotonic strengthening exercises**

**Resume normal lifting program (Physician will determine)**

**Initiate interval sport program (as appropriate)**

**Continue modalities- ice, e-stim, etc. (as needed)**

**Consider GH joint stabilizing brace for contact sports**

**FOLLOW-UP**
- Isokinetic test (ER/IR & Abd/Add)
- Progress interval program
- Maintenance of exercise program

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**Appendix 1 (cont’d). Traumatic dislocation protocol**
Appendix 2. Atraumatic Instability protocol

Non-operative Rehabilitation for Atraumatic Instability
This multi-phased program is designed to allow the patient/athlete to return to their previous functional level as quickly and safely as possible. Each phase will vary in length for each individual depending upon the severity of injury, ROM/strength deficits, and the required activity demands of the patient.

PHASE I – ACUTE PHASE

Goals:
- Decrease pain/inflammation
- Re-establish functional range of motion
- Establish voluntary muscular activation
- Re-establish muscular balance
- Improve proprioception
- Decrease Pain/Inflammation
- Therapeutic modalities (ice, electrotherapy, etc.)
- NSAIDs
- Gentle joint mobilizations (Grade 1 and II) for neuromodulation of pain

Range of Motion Exercises
- Gentle ROM exercises – no stretching
- Pendulum exercises
- Rope and pulley
- Elevation to 90 degrees, progressing to 145/150 degrees flexion
- L-Bar
- Flexion to 90 degrees, progressing to full ROM
- Internal rotation with arm in scapular plane at 45 degrees abduction
- External rotation with arm in scapular plane at 45 degrees abduction
- Progressing arm to 90 degrees abduction

Strengthening Exercises
- Isometrics (performed with arm at side)
- Flexion
- Abduction
- Extension
- Internal rotation at 0 degrees abduction
- External rotation at 0 degrees abduction
- Scapular isometrics
- Biceps
- Retraction/protration
- Elevation/depression
- Weight shifts with arm in scapular plane (closed chain exercises)
- Rhythmic stabilizations (supine position)
- External/internal rotation at 30 degrees abduction
- Flexion/extension at 45 and 90 degrees flexion

★ Note: It is important to refrain from activities and motion in extreme ranges of motion early in the rehabilitation process in order to minimize stress on joint capsule.

Proprioception/Kinesthesia
Active joint reposition drills for ER/IR

PHASE II – INTERMEDIATE PHASE

Goals:
- Normalize arthrokinematics of shoulder complex
- Regain and improve muscular strength of glenohumeral and scapular muscle
- Improve neuromuscular control of shoulder complex
- Enhance proprioception and kinesthesia

Criteria to Progress to Phase II:
- Full functional ROM
- Minimal pain or tenderness
- “Good” MMT

Initiate Isotonic Strengthening
- Internal rotation (sidelying dumbbell)
- External rotation (sidelying dumbbell)
- Scaption to 90 degrees
- Abduction to 90 degrees
- Prone horizontal abduction
- Prone rows
- Prone extensions
- Biceps
- Lower trapezius strengthening

Initiate Eccentric (surgical tubing) Exercises at Zero Degrees Abduction
- Internal rotation
- External rotation

Improve Neuromuscular Control of Shoulder Complex
- Rhythmic stabilization drills at inner, mid, and outer ranges of motion (ER/IR, and Flex/Ext)
- Initiate proprioceptive neuromuscular facilitation
- Scapulothoracic musculature
- Glenohumeral musculature
- Open kinetic chain at beginning and mid ranges of motion
- PNF
- Manual resistance
- External rotation
- Begin in supine position progress to sidelying
- Prone rows
- ER/IR tubing with rhythmic stabilization
- Closed kinetic chain
- Wall stabilization drills
  - Initiated in scapular plane
  - Progress to stabilization onto ball
- Weight shifts had on ball
Appendix 2. (cont’d) Atraumatic Instability protocol

• Initiate core stabilization drills
  - Abdominal
  - Erect spine
• Gluteal strengthening
Continue Use of Modalities (as needed)
• Ice, electrotherapy

PHASE III – ADVANCED STRENGTHENING PHASE

Goals:
• Enhance dynamic stabilization
• Improve strength/endurance
• Improve neuromuscular control
• Prepare patient for activity

Criteria to Progress to Phase III:
• Full non-painful ROM
• No pain or tenderness
• Continued progression of resistive exercises
• Good to normal muscle strength

Continue Use of Modalities (as needed)

Continue Isotonic Strengthening (PRE’s)
• Fundamental shoulder exercises II

Continue Eccentric Strengthening

Emphasize PNF Exercises (D2 pattern) With Rhythmic Stabilization
Hold

Continue to Progress Neuromuscular Control Drills
• Open kinetic chain
• PNF and manual resistance exercises at outer ranges of motion
• Closed kinetic chain
• Push-ups with rhythmic stabilization
• Progress to unsteady surface

• Medicine ball
• Rocker board
• Push-ups with stabilization onto ball
• Wall stabilization drills onto ball

Program Scapular Neuromuscular Control Training
• Sidelying manual drills
• Progress to rhythmic stabilization and movements (quadrant)

Emphasize Endurance Training
• Time bouts of exercise 30-60 sec
• Increase number of reps
• Multiple boots bouts during day

PHASE IV – RETURN TO ACTIVITY PHASE

Goals:
• Maintain level of strength/power/endurance
• Progress activity level to prepare patient/athlete for full functional return to activity/sport

Criteria to Progress to Phase IV:
• Full non-painful ROM
• No pain or tenderness
• Satisfactory isokinetic test
• Satisfactory clinical exam

Continue all exercises as in Phase III

Initiate Interval Sport Program (if appropriate)

Patient Education


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